

Attorney Docket: UC-01-189-2
January 31, 2005 (11:02AM)

3825.006

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Jeanie Camara

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Jeanie Camara

(Signature of Person Transmitting Paper or Fee)

Introductory remarks

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Sung-Joo YOO

Attorneys Docket: UC-01-189-2

Serial No.: 10/075,780

Confirmation No.: 1045

Filed: February 12, 2002

Art Unit No.: 2633

Examiner: A. Bello

For: METHOD AND APPARATUS FOR OPTICAL SIGNAL PROCESSING USING
SUBCARRIER MULTIPLEXED HEADERS

Commissioner for Patents
Alexandria, VA 22313-1450

AMENDMENT UNDER 37 CFR §1.116

Sir:

In response to the Office Action of December 2, 2004, please amend the above application as follows:

**Park, Vaughan & Fleming LLP**

ATTORNEYS AT LAW

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(530) 759-1665 (facsimile)**RECEIVED
CENTRAL FAX CENTER****JAN 31 2005**Writer's Direct dial
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richard@parklegal.com**FACSIMILE TRANSMISSION**

To: Agustin Bello 703-872-9306 (FAX)
United States Patent Office 571-272-3026 (telephone)

From: Edward J. Grundler 530-759-1665 (FAX)
Park, Vaughan & Fleming LLP 530-759-1663 (telephone)

January 31, 2005There are 13 pages including this page.**CONFIDENTIALITY NOTICE**

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**METHOD AND APPARATUS FOR OPTICAL SIGNAL PROCESSING USING
SUBCARRIER MULTIPLEXED HEADERS**Inventor(s): Sun-Joo Yoo Application No.: 10/075,780

Please stamp and confirm receipt in the U.S. Patent Office of the following:

- ☒ Amendment Transmittal
- ☒ Response under 37 C.F.R. § 1.111 to official action mailed December 2, 2004.
- ☐ A petition for extension of time;
- ☐ Terminal disclaimer under 37 C.F.R. § 1.321(c), including
 - ☐ check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
 - ☐ 2 certificates under 37 C.F.R. § 3.73(b);
- ☐ Information disclosure statement, form 1449 and references
- ☐ Small Entity Declaration
- ☐ An Additional Fee Amount: Check for \$.
- ☒ Authorization to credit overpayments or charge underpayments to Charge Deposit Account No. 50-1003
- ☐ Other:

Attorney Docket No.: UC01-189-2US Attorney: Edward J. GrundlerDate Facsimile Sent: January 31, 2005

JAN 31 2005

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Jeannie Camara

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(Signature of Person Mailing Paper or Fee)

PATENT APPLICATION
Attorney Docket No. UC01-189-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF

Sung-Joo Yoo

Serial No. 10/075,780

Filing Date: February 12, 2002

Title: METHOD AND APPARATUS FOR OPTICAL
SIGNAL PROCESSING USING SUBCARRIER)
MULTIPLEXED HEADERS)

)
) Examiner: Kinkead, Arnold M.
)
) Group Art Unit: 2633
)
)
)
)

AMENDMENT TRANSMITTAL LETTERMail Stop: AF

Assistant Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- ☒ Response under 37 C.F.R. § 1.111 to official action mailed December 2, 2004.
- ☐ A petition for extension of time is also enclosed with a fee of \$55.00 for a one-month extension for a small entity.
- ☐ Terminal disclaimer under 37 C.F. R. § 1.321(c), including
 - ☐ check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
 - ☐ 2 certificates under 37 C.F.R. § 3.73(b).
- ☐ Information disclosure statement, form 1449 and ___ references.
- ☒ No additional claims fees are required.

-1-

W:\UNIVERSITY OF CALIFORNIA\UC01-189-2\AMENDMENT B TRANSMITTAL.DOC

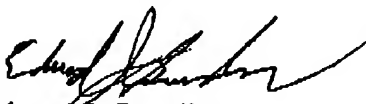
☐ An additional fee is required, and is calculated as shown below:

AMENDED CLAIMS					
	NO. OF CLAIMS	HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	ADDT'L FEE
Total Claims		MINUS = 20	0	x \$18 =	
Independent Claims		MINUS = 3	0	x \$78 =	
If Amendment adds multiple dependent claims, add \$260.00					
Total Amendment Fee					
If small entity status is claimed, subtract 50% of Total Amendment Fee					
TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT					\$0.00

- ☐ A check in the amount of \$___ is enclosed.
- ☐ Charge \$___ to Deposit Account No. ___ (Docket No. ___).
- ☒ Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. UC01-189-2).

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Respectfully submitted,

By 
 Edward J. Grundler
 Registration No. 47,615

Date: January 31, 2005